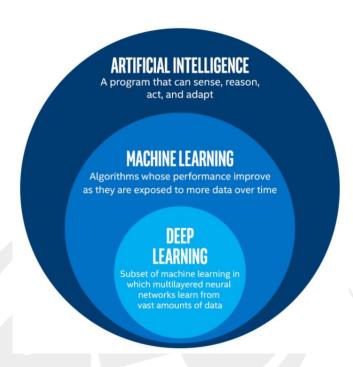
# AI4EIC: Digital Twin and AI/ML for complex systems



Malachi Schram, Ph.D.

Department of Data Science

Thomas Jefferson National Accelerator Facility









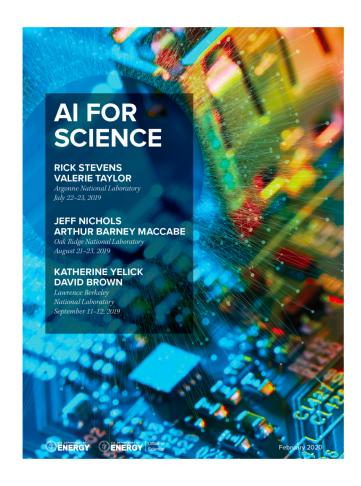
# Al for Science Report

"New Deep Learning methods are required to detect anomalies and optimize operating parameters..."

"... move from *human-in-the-loop to Al-driven* design, discovery, and evaluation also manifests across the *design of scientific workflows*, optimization of large-scale simulation codes, and operation of next generation instruments."

- Excerpts from the

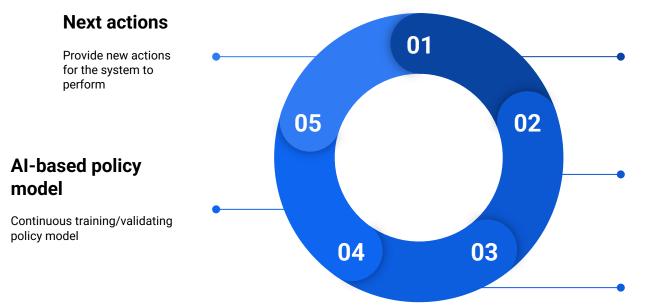
**Executive Summary** 





# Baseline design/control workflows

- Leverage AI/ML components to steer workflow
- No longer requires a human-in-the-loop or prescriptive rules, however, you might need multiple models



#### **Data generation**

Simulation and/or sensor data Initial state or updated state based on new actions

#### **Data processing**

Data cleaning, re-structuring, etc.

# ML-based augmented state representation (optional)

Enhance the state space representation using ML encoding, e.g. anomaly detection input, domain-aware models, etc.)

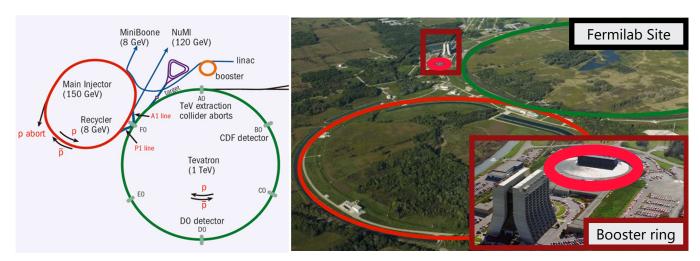


# Workflow using RL for FNAL Booster control policy

#### **Problem definition:**

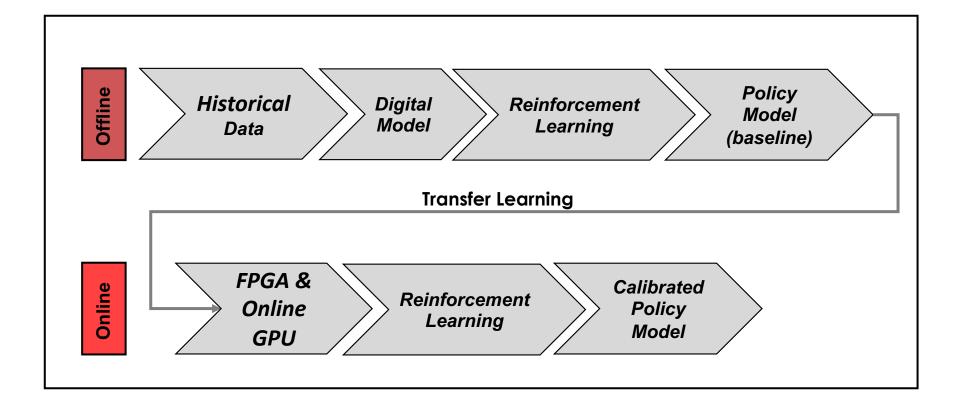
Reduce beam losses in the FNAL Booster by developing a Machine Learning (ML) model that provides an optimal set of actions for accelerator controls

#### **FNAL Accelerator Complex:**



Courtesy: Christian Herwig

# AI/ML workflow





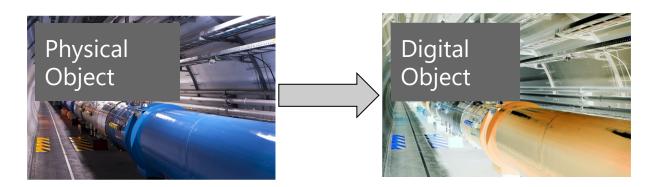
# Data-driven ML-based digital model

#### Scope and usage for digital twin (digital model for now):

 Provide accurate predictions of future time for key variables to be used by the reinforcement learning framework

#### **Dataset provided:**

- Historical temporal information from key variables was available based on input from subject matter expert
- Caution:
  - Data did not include detailed history on commissioning, maintenance, etc.
  - Should conduct a full data inventory assessment



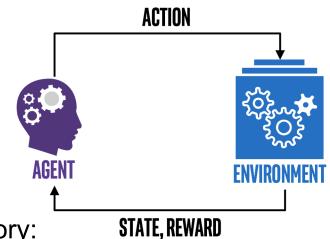
# **Reinforcement Learning**

"Reinforcement Learning is learning what to do — how to map situations to actions—so as to <u>maximize</u> a numerical reward signal. The learner is not told which actions to take, but instead must discover which actions yield the most reward by trying them." - Barto & Sutton

### Key concepts to Reinforcement Learning:

- Agent (controller policy and sampling)
  - Action (control signal)
- Environment (controlled system)
  - State (representation of environment)
  - Reward (numerical consequence of action)
- Sequence of experience and agent forms trajectory:

Example RL Trace:  $(S_0, A_0, R_0)$ ,  $(S_1, A_1, R_1)$ , ...



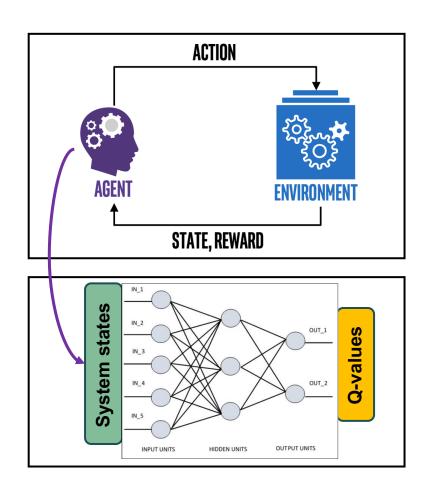


## **Deep Q-Networks algorithm**

DQN uses a deep neural network to estimate the value of taking a specific action at a certain state, also called the state-action value or Q-value.

The DQN agent, once trained properly, suggests the action with the highest Q-value as its policy, and maximizes the total reward over the episode.

Five discrete actions were defined as possible control changes to the regulator.

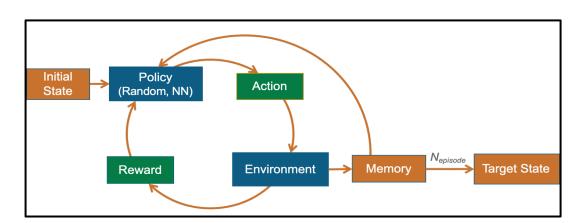


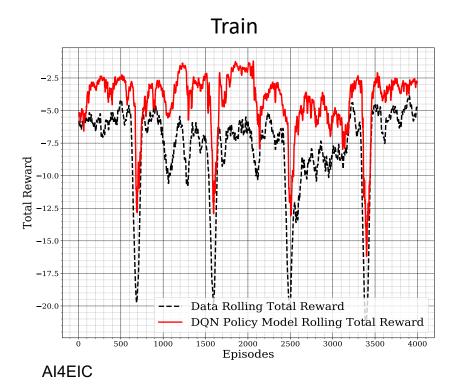


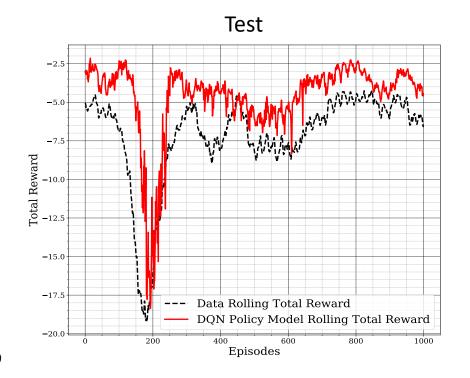
# Reinforcement learning FNAL Booster workflow

The optimization was formulated as an episodic problem:

- For each episode the environment was reset to a random initial time in the historical data
- Training and testing data was orthogonal

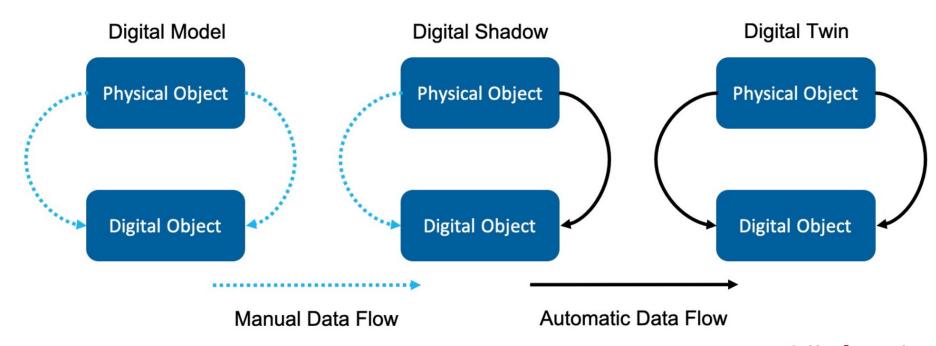






## **Digital twin definitions**

- Digital Model: a digital version of a pre-existing or planned physical object
- Digital Shadow: digital representation of a physical object with a one-way data flow from the physical to digital object
- Digital Twin: data flows between a physical object and a digital object are fully integrated and bilateral

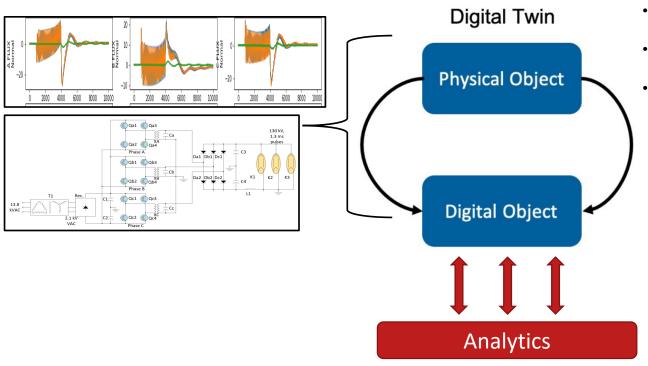


## Digital twin application examples

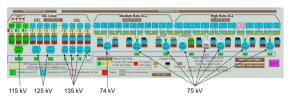
- Digital Twin provides the ability to conduct analytical studies without impacting the physical system, for example:
  - Statistical analysis:
    - Box plots (mean, median, quantiles, etc.)
    - Threads
  - Time series forecasting:
    - Gaussian Processes
    - Quantile Models
    - Recurrent Neural Networks
  - Anomaly detection and classification
    - Random Forest
    - Deep Neural Network
    - Siamese Networks
  - Forecasting component fatigue and failures
    - Physics based models
- Depending on time budget for actionable responses, these studies can be performed on the edge or on HPC systems

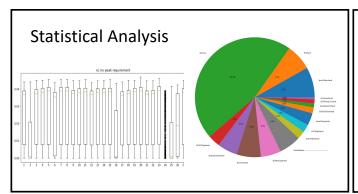


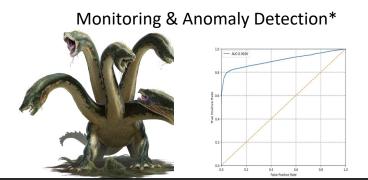
## Integrating digital twin into the analytics workflow

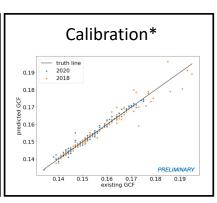


- SNS has 15 HVCM (RFQ, DTL, CCL, SCL) with 3 different designs.
- Each HVCM has multiple faults, and not all the faults have recorded waveforms.
- The waveforms for each HVCM design may be different









## Understand what your model knows and doesn't know

Anomaly Type #1

Class Probability, Deterministic Model

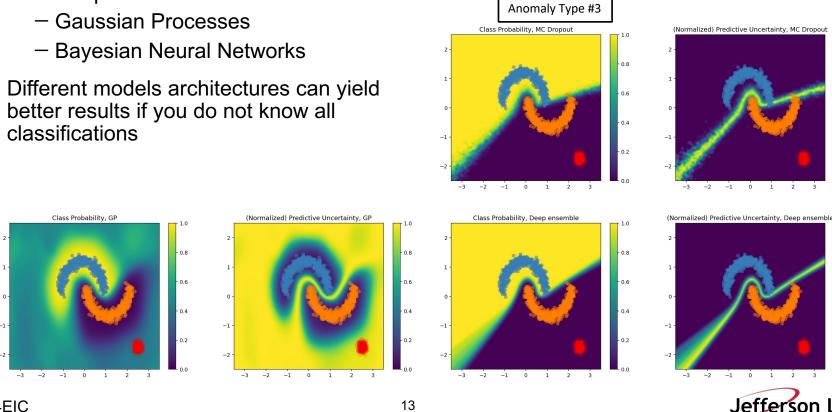
Anomaly Type #2

Predictive Uncertainty, Deterministic Model

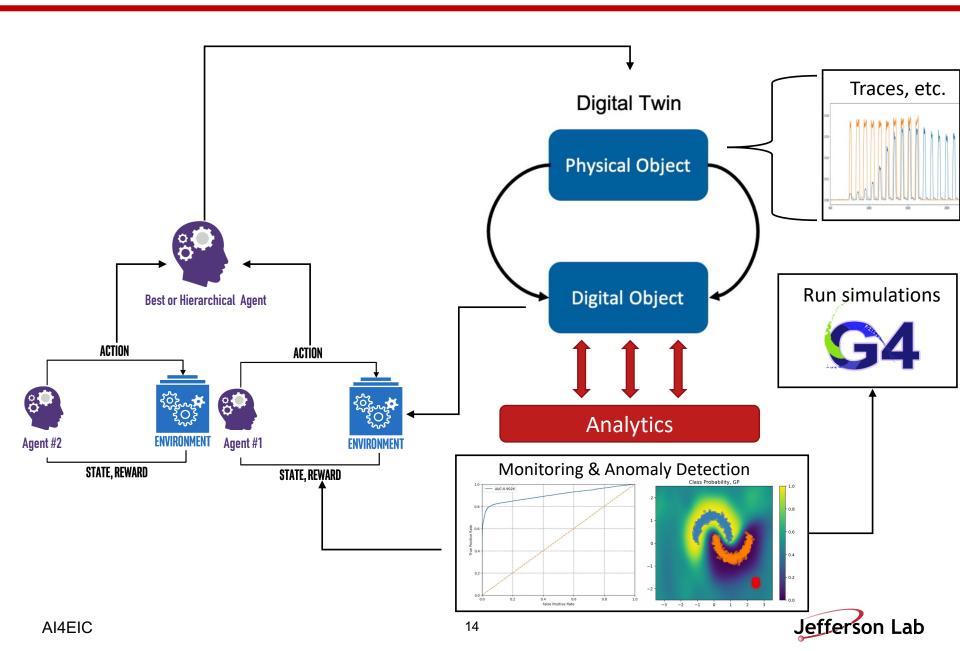
Different method yield vastly different classification predictions, some examples:

- Deterministic
- MC Dropout
- Deep Ensemble

better results if you do not know all classifications

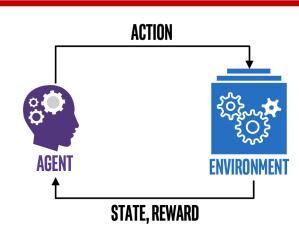


## Extending digital twin and analytics for control workflow

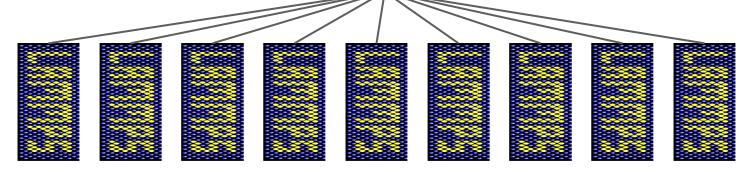


# Scaling workflow on HPC system

- Digital Object is interfaced with industry standard OpenAl gym environment
- To accelerate the data generation we developed a MPI-based framework
- We created an agent that maps the action-reward for all simulations
- A production job split the MPI communications between the agent and each Digital Object environment







Agent (BO, RL, etc.)

#### Additional considerations

- **Safety:** We need to ensure that the actions provided by the Al-based controller are within "safe" parameters.
- Robustness: Understanding how the AI-based control behaves in the presence of unexpected changes in the input state
  - Models robustness loss landscape, etc.
  - Impact from noisy and/or dead sensors
  - Adversarial techniques
- **Explainability:** With all AI/ML models we need to understand why the model made a given prediction:
  - Saliency maps
  - Hierarchical models
- Continuous learning: The underlying system dynamics can change over time. We need to evaluate the current states to previous states to determine if there has been any notable change that would require the model to be updated
- Computing infrastructure: Data-intensive workflow, data movement (DTNs, Wired/Wireless), processing architecture (FPGA, GPU, etc.), etc

